Most car headlights have lines across their faces, like those shown here. Without these lines, the headlights either would not function properly or would be much more likely to break from the jarring of the car on a bumpy road. What is the purpose of the lines? (George Semple)
his chapter is concerned with the images that result when spherical waves fall on flat and spherical surfaces. We find that images can be formed either by reflection or by refraction and that mirrors and lenses work because of reflection and refraction. We continue to use the ray approximation and to assume that light travels in straight lines. Both of these steps lead to valid predictions in the field called geometric optics. In subsequent chapters, we shall concern ourselves with interference and diffraction effects—the objects of study in the field of wave optics.

### 36.1 Images Formed by Flat Mirrors

We begin by considering the simplest possible mirror, the flat mirror. Consider a point source of light placed at $O$ in Figure 36.1, a distance $p$ in front of a flat mirror. The distance $p$ is called the **object distance**. Light rays leave the source and are reflected from the mirror. Upon reflection, the rays continue to diverge (spread apart), but they appear to the viewer to come from a point $I$ behind the mirror. Point $I$ is called the **image** of the object at $O$. Regardless of the system under study, we always locate images by extending diverging rays back to a point from which they appear to diverge. Images are located either at the point from which rays of light actually diverge or at the point from which they appear to diverge. Because the rays in Figure 36.1 appear to originate at $I$, which is a distance $q$ behind the mirror, this is the location of the image. The distance $q$ is called the **image distance**.

Images are classified as real or virtual. A **real image** is formed when light rays pass through and diverge from the image point; a **virtual image** is formed when the light rays do not pass through the image point but appear to diverge. The image formed by the mirror in Figure 36.1 is virtual. The image of an object seen in a flat mirror is always virtual. Real images can be displayed on a screen (as at a movie), but virtual images cannot be displayed on a screen.

We can use the simple geometric techniques shown in Figure 36.2 to examine the properties of the images formed by flat mirrors. Even though an infinite number of light rays leave each point on the object, we need to follow only two of them to determine where an image is formed. One of those rays starts at $P$, follows a horizontal path to the mirror, and reflects back on itself. The second ray follows the oblique path $PR$ and reflects as shown, according to the law of reflection. An observer in front of the mirror would trace the two reflected rays back to the point at which they appear to have originated, which is point $P'$ behind the mirror. A continuation of this process for points other than $P$ on the object would result in a virtual image (represented by a yellow arrow) behind the mirror. Because triangles $PQR$ and $P'Q'R$ are congruent, $PQ = P'Q$. We conclude that the image **formed by an object placed in front of a flat mirror is as far behind the mirror as the object is in front of the mirror**.

Geometry also reveals that the object height $h$ equals the image height $h'$. Let us define **lateral magnification** $M$ as follows:

$$M = \frac{\text{Image height}}{\text{Object height}} = \frac{h'}{h} \quad (36.1)$$
This is a general definition of the lateral magnification for any type of mirror. For a flat mirror, \( M = 1 \) because \( h' = h \).

Finally, note that a flat mirror produces an image that has an apparent left–right reversal. You can see this reversal by standing in front of a mirror and raising your right hand, as shown in Figure 36.3. The image you see raises its left hand. Likewise, your hair appears to be parted on the side opposite your real part, and a mole on your right cheek appears to be on your left cheek.

This reversal is not actually a left–right reversal. Imagine, for example, lying on your left side on the floor, with your body parallel to the mirror surface. Now your head is on the left and your feet are on the right. If you shake your feet, the image does not shake its head! If you raise your right hand, however, the image again raises its left hand. Thus, the mirror again appears to produce a left–right reversal but in the up–down direction!

The reversal is actually a front–back reversal, caused by the light rays going forward toward the mirror and then reflecting back from it. An interesting exercise is to stand in front of a mirror while holding an overhead transparency in front of you so that you can read the writing on the transparency. You will be able to read the writing on the image of the transparency, also. You may have had a similar experience if you have attached a transparent decal with words on it to the rear window of your car. If the decal can be read from outside the car, you can also read it when looking into your rearview mirror from inside the car.

We conclude that the image that is formed by a flat mirror has the following properties.

- The image is as far behind the mirror as the object is in front of the mirror.
- The image is unmagnified, virtual, and upright. (By upright we mean that, if the object arrow points upward as in Figure 36.2, so does the image arrow.)
- The image has front–back reversal.
CONCEPTUAL EXAMPLE 36.1  Multiple Images Formed by Two Mirrors

Two flat mirrors are at right angles to each other, as illustrated in Figure 36.5, and an object is placed at point \( O \). In this situation, multiple images are formed. Locate the positions of these images.

Solution  The image of the object is at \( I_1 \) in mirror 1 and at \( I_2 \) in mirror 2. In addition, a third image is formed at \( I_3 \). This third image is the image of \( I_1 \) in mirror 2 or, equivalently, the image of \( I_2 \) in mirror 1. That is, the image at \( I_1 \) (or \( I_2 \)) serves as the object for \( I_3 \). Note that to form this image at \( I_3 \), the rays reflect twice after leaving the object at \( O \).

Figure 36.5  When an object is placed in front of two mutually perpendicular mirrors as shown, three images are formed.

CONCEPTUAL EXAMPLE 36.2  The Levitated Professor

The professor in the box shown in Figure 36.6 appears to be balancing himself on a few fingers, with his feet off the floor. He can maintain this position for a long time, and he appears to defy gravity. How was this illusion created?

Solution  This is one of many magicians’ optical illusions that make use of a mirror. The box in which the professor stands is a cubical frame that contains a flat vertical mirror positioned in a diagonal plane of the frame. The professor straddles the mirror so that one foot, which you see, is in front of the mirror, and one foot, which you cannot see, is behind the mirror. When he raises the foot in front of the mirror, the reflection of that foot also rises, so he appears to float in air.

Figure 36.6  An optical illusion.
**Concave Mirrors**

A spherical mirror, as its name implies, has the shape of a section of a sphere. This type of mirror focuses incoming parallel rays to a point, as demonstrated by the colored light rays in Figure 36.8. Figure 36.9a shows a cross-section of a spherical mirror, with its surface represented by the solid, curved black line. (The blue band represents the structural support for the mirrored surface, such as a curved piece of glass on which the silvered surface is deposited.) Such a mirror, in which light is reflected from the inner, concave surface, is called a concave mirror. The mirror has a radius of curvature \( R \), and its center of curvature is point \( C \). Point \( V \) is the center of the spherical section, and a line through \( C \) and \( V \) is called the principal axis of the mirror.

Now consider a point source of light placed at point \( O \) in Figure 36.9b, where \( O \) is any point on the principal axis to the left of \( C \). Two diverging rays that originate at \( O \) are shown. After reflecting from the mirror, these rays converge (come together) at the image point \( I \). They then continue to diverge from \( I \) as if an object were there. As a result, we have at point \( I \) a real image of the light source at \( O \).

We shall consider in this section only rays that diverge from the object and make a small angle with the principal axis. Such rays are called paraxial rays.
such rays reflect through the image point, as shown in Figure 36.9b. Rays that are far from the principal axis, such as those shown in Figure 36.10, converge to other points on the principal axis, producing a blurred image. This effect, which is called **spherical aberration**, is present to some extent for any spherical mirror and is discussed in Section 36.5.

We can use Figure 36.11 to calculate the image distance $q$ from a knowledge of the object distance $p$ and radius of curvature $R$. By convention, these distances are measured from point $V$. Figure 36.11 shows two rays leaving the tip of the object. One of these rays passes through the center of curvature $C$ of the mirror, hitting the mirror perpendicular to the mirror surface and reflecting back on itself. The second ray strikes the mirror at its center (point $V$) and reflects as shown, obeying the law of reflection. The image of the tip of the arrow is located at the point where these two rays intersect. From the gold right triangle in Figure 36.11, we see that $\tan \theta = h/p$, and from the blue right triangle we see that $\tan \theta = -h'/q$. The negative sign is introduced because the image is inverted, so $h'$ is taken to be negative. Thus, from Equation 36.1 and these results, we find that the magnification of the mirror is

$$M = \frac{h'}{h} = -\frac{q}{p}$$

(36.2)
We also note from the two triangles in Figure 36.11 that have $\alpha$ as one angle that

$$\tan \alpha = \frac{h}{p - R} \quad \text{and} \quad \tan \alpha = -\frac{h'}{R - q}$$

from which we find that

$$\frac{h'}{h} = -\frac{R - q}{p - R} \quad (36.3)$$

If we compare Equations 36.2 and 36.3, we see that

$$\frac{R - q}{p - R} = \frac{q}{p}$$

Simple algebra reduces this to

$$\frac{1}{p} + \frac{1}{q} = \frac{2}{R} \quad (36.4)$$

This expression is called the **mirror equation**. It is applicable only to paraxial rays.

If the object is very far from the mirror—that is, if $p$ is so much greater than $R$ that $p$ can be said to approach infinity—then $1/p \approx 0$, and we see from Equation 36.4 that $q \approx R/2$. That is, when the object is very far from the mirror, the image point is halfway between the center of curvature and the center point on the mirror, as shown in Figure 36.12a. The incoming rays from the object are essentially parallel in this figure because the source is assumed to be very far from the mirror. We call the image point in this special case the **focal point** $F$ and the image distance the **focal length** $f$, where

$$f = \frac{R}{2} \quad (36.5)$$

---

**Figure 36.12**  (a) Light rays from a distant object ($p \approx \infty$) reflect from a concave mirror through the focal point $F$. In this case, the image distance $q = R/2 = f$, where $f$ is the focal length of the mirror. (b) Reflection of parallel rays from a concave mirror.
CHAPTER 36  Geometric Optics

Focal length is a parameter particular to a given mirror and therefore can be used to compare one mirror with another. The mirror equation can be expressed in terms of the focal length:

\[ \frac{1}{p} + \frac{1}{q} = \frac{1}{f} \]  \hspace{1cm} (36.6)

Notice that the focal length of a mirror depends only on the curvature of the mirror and not on the material from which the mirror is made. This is because the formation of the image results from rays reflected from the surface of the material. We shall find in Section 36.4 that the situation is different for lenses; in that case the light actually passes through the material.

Convex Mirrors

Figure 36.13 shows the formation of an image by a convex mirror—that is, one silvered so that light is reflected from the outer, convex surface. This is sometimes called a diverging mirror because the rays from any point on an object diverge after reflection as though they were coming from some point behind the mirror. The image in Figure 36.13 is virtual because the reflected rays only appear to originate at the image point, as indicated by the dashed lines. Furthermore, the image is always upright and smaller than the object. This type of mirror is often used in stores to foil shoplifters. A single mirror can be used to survey a large field of view because it forms a smaller image of the interior of the store.

We do not derive any equations for convex spherical mirrors because we can use Equations 36.2, 36.4, and 36.6 for either concave or convex mirrors if we adhere to the following procedure. Let us refer to the region in which light rays move toward the mirror as the front side of the mirror, and the other side as the back side. For example, in Figures 36.10 and 36.12, the side to the left of the mirrors is the front side, and the side to the right of the mirrors is the back side. Figure 36.14 states the sign conventions for object and image distances, and Table 36.1 summarizes the sign conventions for all quantities.
TABLE 36.1 Sign Conventions for Mirrors

- \( p \) is positive if object is in front of mirror (real object).
- \( p \) is negative if object is in back of mirror (virtual object).
- \( q \) is positive if image is in front of mirror (real image).
- \( q \) is negative if image is in back of mirror (virtual image).

Both \( f \) and \( R \) are positive if center of curvature is in front of mirror (concave mirror).
Both \( f \) and \( R \) are negative if center of curvature is in back of mirror (convex mirror).

- If \( M \) is positive, image is upright.
- If \( M \) is negative, image is inverted.

Ray Diagrams for Mirrors

The positions and sizes of images formed by mirrors can be conveniently determined with ray diagrams. These graphical constructions reveal the nature of the image and can be used to check results calculated from the mirror and magnification equations. To draw a ray diagram, we need to know the position of the object and the locations of the mirror’s focal point and center of curvature. We then draw three rays to locate the image, as shown by the examples in Figure 36.15. These rays all start from the same object point and are drawn as follows. We may choose any point on the object; here, we choose the top of the object for simplicity:

- Ray 1 is drawn from the top of the object parallel to the principal axis and is reflected through the focal point \( F \).
- Ray 2 is drawn from the top of the object through the focal point and is reflected parallel to the principal axis.
- Ray 3 is drawn from the top of the object through the center of curvature \( C \) and is reflected back on itself.

The intersection of any two of these rays locates the image. The third ray serves as a check of the construction. The image point obtained in this fashion must always agree with the value of \( q \) calculated from the mirror equation.

With concave mirrors, note what happens as the object is moved closer to the mirror. The real, inverted image in Figure 36.15a moves to the left as the object approaches the focal point. When the object is at the focal point, the image is infinitely far to the left. However, when the object lies between the focal point and the mirror surface, as shown in Figure 36.15b, the image is virtual, upright, and enlarged. This latter situation applies in the use of a shaving mirror or a makeup mirror. Your face is closer to the mirror than the focal point, and you see an upright, enlarged image of your face.

In a convex mirror (see Fig. 36.15c), the image of an object is always virtual, upright, and reduced in size. In this case, as the object distance increases, the virtual image decreases in size and approaches the focal point as \( p \) approaches infinity. You should construct other diagrams to verify how image position varies with object position.

QuickLab

Compare the images formed of your face when you look first at the front side and then at the back side of a shiny soup spoon. Why do the two images look so different from each other?
Figure 36.15 Ray diagrams for spherical mirrors, along with corresponding photographs of the images of candles. (a) When the object is located so that the center of curvature lies between the object and a concave mirror surface, the image is real, inverted, and reduced in size. (b) When the object is located between the focal point and a concave mirror surface, the image is virtual, upright, and enlarged. (c) When the object is in front of a convex mirror, the image is virtual, upright, and reduced in size.
**Example 36.4**  The Image from a Mirror

Assume that a certain spherical mirror has a focal length of +10.0 cm. Locate and describe the image for object distances of (a) 25.0 cm, (b) 10.0 cm, and (c) 5.00 cm.

**Solution**  Because the focal length is positive, we know that this is a concave mirror (see Table 36.1). (a) This situation is analogous to that in Figure 36.15a; hence, we expect the image to be real and closer to the mirror than the object. According to the figure, it should also be inverted and reduced in size. We find the image distance by using the Equation 36.6 form of the mirror equation:

\[
\frac{1}{p} + \frac{1}{q} = \frac{1}{f}\\
\frac{1}{25.0 \text{ cm}} + \frac{1}{q} = \frac{1}{10.0 \text{ cm}}\\
q = 16.7 \text{ cm}
\]

The magnification is given by Equation 36.2:

\[
M = -\frac{q}{p} = -\frac{16.7 \text{ cm}}{25.0 \text{ cm}} = -0.668
\]

The fact that the absolute value of \(M\) is less than unity tells us that the image is smaller than the object, and the negative sign for \(M\) tells us that the image is inverted. Because \(q\) is positive, the image is located on the front side of the mirror and is real. Thus, we see that our predictions were correct.

(b) When the object distance is 10.0 cm, the object is located at the focal point. Now we find that

\[
\frac{1}{10.0 \text{ cm}} + \frac{1}{q} = \frac{1}{10.0 \text{ cm}}\\
q = \frac{1}{6.7 \text{ cm}}
\]

which means that rays originating from an object positioned at the focal point of a mirror are reflected so that the image is formed at an infinite distance from the mirror; that is, the rays travel parallel to one another after reflection. This is the situation in a flashlight, where the bulb filament is placed at the focal point of a reflector, producing a parallel beam of light.

(c) When the object is at \(p = 5.00 \text{ cm}\), it lies between the focal point and the mirror surface, as shown in Figure 36.15b. Thus, we expect a magnified, virtual, upright image. In this case, the mirror equation gives

\[
\frac{1}{5.00 \text{ cm}} + \frac{1}{q} = \frac{1}{10.0 \text{ cm}}\\
q = -10.0 \text{ cm}
\]

The image is virtual because it is located behind the mirror, as expected. The magnification is

\[
M = -\frac{q}{p} = -\frac{(-10.0 \text{ cm})}{5.00 \text{ cm}} = 2.00
\]

The image is twice as large as the object, and the positive sign for \(M\) indicates that the image is upright (see Fig. 36.15b).

**Exercise**  At what object distance is the magnification \(M = -1.00\)?

**Answer**  20.0 cm.

---

**Example 36.5**  The Image from a Convex Mirror

A woman who is 1.5 m tall is located 3.0 m from an anti-shoplifting mirror, as shown in Figure 36.16. The focal length of the mirror is \(-0.25 \text{ m}\). Find (a) the position of her image and (b) the magnification.

**Solution**  (a) This situation is depicted in Figure 36.15c. We should expect to find an upright, reduced, virtual image. To find the image position, we use Equation 36.6:

\[
\frac{1}{p} + \frac{1}{q} = \frac{1}{f} = -\frac{1}{-0.25 \text{ m}}\\
\frac{1}{q} = -\frac{1}{-0.25 \text{ m}} - \frac{1}{3.0 \text{ m}}\\
q = 0.23 \text{ m}
\]

Figure 36.16  Convex mirrors, often used for security in department stores, provide wide-angle viewing.
The negative value of $q$ indicates that her image is virtual, or behind the mirror, as shown in Figure 36.15c.

(b) The magnification is

$$M = -\frac{q}{p} = -\left(\frac{-0.23 \text{ m}}{3.0 \text{ m}}\right) = 0.077$$

The image is much smaller than the woman, and it is upright because $M$ is positive.

**Exercise** Find the height of the image.

**Answer** 0.12 m.

### 36.3 Images Formed by Refraction

In this section we describe how images are formed when light rays are refracted at the boundary between two transparent materials. Consider two transparent media having indices of refraction $n_1$ and $n_2$, where the boundary between the two media is a spherical surface of radius $R$ (Fig. 36.17). We assume that the object at $O$ is in the medium for which the index of refraction is $n_1$, where $n_1 < n_2$. Let us consider the paraxial rays leaving $O$. As we shall see, all such rays are refracted at the spherical surface and focus at a single point $I$, the image point.

Figure 36.18 shows a single ray leaving point $O$ and focusing at point $I$. Snell’s law of refraction applied to this refracted ray gives

$$n_1 \sin \theta_1 = n_2 \sin \theta_2$$

Because $\theta_1$ and $\theta_2$ are assumed to be small, we can use the small-angle approximation $\sin \theta \approx \theta$ (angles in radians) and say that

$$n_1 \theta_1 = n_2 \theta_2$$

Now we use the fact that an exterior angle of any triangle equals the sum of the two opposite interior angles. Applying this rule to triangles $OPC$ and $PIC$ in Figure 36.18 gives

$$\theta_1 = \alpha + \beta$$

$$\beta = \theta_2 + \gamma$$

If we combine all three expressions and eliminate $\theta_1$ and $\theta_2$, we find that

$$n_1 \alpha + n_2 \gamma = (n_2 - n_1) \beta$$

(36.7)

Looking at Figure 36.18, we see three right triangles that have a common vertical leg of length $d$. For paraxial rays (unlike the relatively large-angle ray shown in Fig.
36.3 Images Formed by Refraction

36.3 Images Formed by Refraction

36.18), the horizontal legs of these triangles are approximately \( p \) for the triangle containing angle \( \alpha \), \( R \) for the triangle containing angle \( \beta \), and \( q \) for the triangle containing angle \( \gamma \). In the small-angle approximation, \( \tan \theta \approx \theta \), so we can write the approximate relationships from these triangles as follows:

\[
\tan \alpha = \alpha = \frac{d}{p} \quad \tan \beta = \beta = \frac{d}{R} \quad \tan \gamma = \gamma = \frac{d}{q}
\]

We substitute these expressions into Equation 36.7 and divide through by \( d \) to get

\[
\frac{n_1}{p} + \frac{n_2}{q} = \frac{n_2 - n_1}{R}
\]

(36.8)

For a fixed object distance \( p \), the image distance \( q \) is independent of the angle that the ray makes with the axis. This result tells us that all paraxial rays focus at the same point \( I \).

As with mirrors, we must use a sign convention if we are to apply this equation to a variety of cases. We define the side of the surface in which light rays originate as the front side. The other side is called the back side. Real images are formed by refraction in back of the surface, in contrast with mirrors, where real images are formed in front of the reflecting surface. Because of the difference in location of real images, the refraction sign conventions for \( q \) and \( R \) are opposite the reflection sign conventions. For example, \( q \) and \( R \) are both positive in Figure 36.18. The sign conventions for spherical refracting surfaces are summarized in Table 36.2.

We derived Equation 36.8 from an assumption that \( n_1 < n_2 \). This assumption is not necessary, however. Equation 36.8 is valid regardless of which index of refraction is greater.

**Table 36.2 Sign Conventions for Refracting Surfaces**

- \( p \) is **positive** if object is in **front** of surface (real object).
- \( p \) is **negative** if object is in **back** of surface (virtual object).
- \( q \) is **positive** if image is in **back** of surface (real image).
- \( q \) is **negative** if image is in **front** of surface (virtual image).
- \( R \) is **positive** if center of curvature is in **back** of convex surface.
- \( R \) is **negative** if center of curvature is in **front** of concave surface.
Flat Refracting Surfaces

If a refracting surface is flat, then $R$ is infinite and Equation 36.8 reduces to

$$\frac{n_1}{p} = -\frac{n_2}{q}$$

From this expression we see that the sign of $q$ is opposite that of $p$. Thus, according to Table 36.2, the image formed by a flat refracting surface is on the same side of the surface as the object. This is illustrated in Figure 36.19 for the situation in which the object is in the medium of index $n_1$ and $n_1$ is greater than $n_2$. In this case, a virtual image is formed between the object and the surface. If $n_1$ is less than $n_2$, the rays in the back side diverge from each other at lesser angles than those in Figure 36.19. As a result, the virtual image is formed to the left of the object.

**Conceptual Example 36.6 Let's Go Scuba Diving!**

It is well known that objects viewed under water with the naked eye appear blurred and out of focus. However, a scuba diver using a mask has a clear view of underwater objects. (a) Explain how this works, using the facts that the indices of refraction of the cornea, water, and air are 1.376, 1.333, and 1.00029, respectively.

**Solution** Because the cornea and water have almost identical indices of refraction, very little refraction occurs when a person under water views objects with the naked eye. In this case, light rays from an object focus behind the retina, resulting in a blurred image. When a mask is used, the air space between the eye and the mask surface provides the normal amount of refraction at the eye–air interface, and the light from the object is focused on the retina.

(b) If a lens prescription is ground into the glass of a mask, should the curved surface be on the inside of the mask, the outside, or both?

**Solution** If a lens prescription is ground into the glass of the mask so that the wearer can see without eyeglasses, only the inside surface is curved. In this way the prescription is accurate whether the mask is used under water or in air. If the curvature were on the outer surface, the refraction at the outer surface of the glass would change depending on whether air or water were present on the outside of the mask.

**Example 36.7 Gaze into the Crystal Ball**

A dandelion seed ball 4.0 cm in diameter is embedded in the center of a spherical plastic paperweight having a diameter of 6.0 cm (Fig. 36.20a). The index of refraction of the plastic is $n_1 = 1.50$. Find the position of the image of the near edge of the seed ball.

**Solution** Because $n_1 > n_2$, where $n_2 = 1.00$ is the index of refraction for air, the rays originating from the seed ball are refracted away from the normal at the surface and diverge outward, as shown in Figure 36.20b. Hence, the image is formed inside the paperweight and is virtual. From the given dimensions, we know that the near edge of the seed ball is 1.0 cm beneath the surface of the paperweight. Applying Equation 36.8 and noting from Table 36.2 that $R$ is negative, we obtain

$$\frac{n_1}{p} + \frac{n_2}{q} = \frac{n_2 - n_1}{R}$$

$$\frac{1.50}{1.0 \text{ cm}} + \frac{1}{q} = \frac{1.00 - 1.50}{-3.0 \text{ cm}}$$

$$q = -0.75 \text{ cm}$$

The negative sign for $q$ indicates that the image is in front of the surface—in other words, in the same medium as the object, as shown in Figure 36.20b. Being in the same medium as the object, the image must be virtual (see Table 36.2). The surface of the seed ball appears to be closer to the paperweight surface than it actually is.
**Example 36.8 The One That Got Away**

A small fish is swimming at a depth $d$ below the surface of a pond (Fig. 36.21). What is the apparent depth of the fish, as viewed from directly overhead?

**Solution** Because the refracting surface is flat, $R$ is infinite. Hence, we can use Equation 36.9 to determine the location of the image with $p = d$. Using the indices of refraction given in Figure 36.21, we obtain

$$q = -\frac{n_2}{n_1} p = -\frac{1.00}{1.33} d = -0.752d$$

Because $q$ is negative, the image is virtual, as indicated by the dashed lines in Figure 36.21. The apparent depth is three-fourths the actual depth.

**Figure 36.21** The apparent depth $q$ of the fish is less than the true depth $d$. All rays are assumed to be paraxial.
THIN LENSES

Lenses are commonly used to form images by refraction in optical instruments, such as cameras, telescopes, and microscopes. We can use what we just learned about images formed by refracting surfaces to help us locate the image formed by a lens. We recognize that light passing through a lens experiences refraction at two surfaces. The development we shall follow is based on the notion that the image formed by one refracting surface serves as the object for the second surface. We shall analyze a thick lens first and then let the thickness of the lens be approximately zero.

Consider a lens having an index of refraction \( n \) and two spherical surfaces with radii of curvature \( R_1 \) and \( R_2 \), as in Figure 36.22. (Note that \( R_1 \) is the radius of curvature of the lens surface that the light leaving the object reaches first and that \( R_2 \) is the radius of curvature of the other surface of the lens.) An object is placed at point \( O \) at a distance \( p_1 \) in front of surface 1. If the object were far from surface 1, the light rays from the object that struck the surface would be almost parallel to each other. The refraction at the surface would focus these rays, forming a real image to the right of surface 1 in Figure 36.22 (as in Fig. 36.17). If the object is placed close to surface 1, as shown in Figure 36.22, the rays diverging from the object and striking the surface cover a wide range of angles and are not parallel to each other. In this case, the refraction at the surface is not sufficient to cause the rays to converge on the right side of the surface. They still diverge, although they are closer to parallel than they were before they struck the surface. This results in a virtual image of the object at \( I_1 \) to the left of the surface, as shown in Figure 36.22. This image is then used as the object for surface 2, which results in a real image \( I_2 \) to the right of the lens.

Let us begin with the virtual image formed by surface 1. Using Equation 36.8 and assuming that \( n_1 = 1 \) because the lens is surrounded by air, we find that the image \( I_1 \) formed by surface 1 satisfies the equation

\[
(1) \quad \frac{1}{p_1} + \frac{n}{q_1} = \frac{n - 1}{R_1}
\]

where \( q_1 \) is a negative number because it represents a virtual image formed on the front side of surface 1.

Now we apply Equation 36.8 to surface 2, taking \( n_1 = n \) and \( n_2 = 1 \). (We make this switch in index because the light rays from \( I_1 \) approaching surface 2 are in the material of the lens, and this material has index \( n \). We could also imagine removing the object at \( O \), filling all of the space to the left of surface 1 with the mate-
rial of the lens, and placing the object at \( I_1 \); the light rays approaching surface 2 would be the same as in the actual situation in Fig. 36.22.) Taking \( p_2 \) as the object distance for surface 2 and \( q_2 \) as the image distance gives

\[
(2) \quad \frac{n}{p_2} + \frac{1}{q_2} = \frac{1 - n}{R_2}
\]

We now introduce mathematically the fact that the image formed by the first surface acts as the object for the second surface. We do this by noting from Figure 36.22 that \( p_2 \) is the sum of \( q_1 \) and \( t \) and by setting \( p_2 = -q_1 + t \), where \( t \) is the thickness of the lens. (Remember that \( q_1 \) is a negative number and that \( p_2 \) must be positive by our sign convention—thus, we must introduce a negative sign for \( q_1 \).) For a thin lens (for which the thickness is small compared to the radii of curvature), we can neglect \( t \). In this approximation, we see that \( p_2 = -q_1 \). Hence, Equation (2) becomes

\[
(3) \quad -\frac{n}{q_1} + \frac{1}{q_2} = \frac{1 - n}{R_2}
\]

Adding Equations (1) and (3), we find that

\[
(4) \quad \frac{1}{p_1} + \frac{1}{q_2} = (n - 1) \left( \frac{1}{R_1} - \frac{1}{R_2} \right)
\]

For a thin lens, we can omit the subscripts on \( p_1 \) and \( q_2 \) in Equation (4) and call the object distance \( p \) and the image distance \( q \), as in Figure 36.23. Hence, we can write Equation (4) in the form

\[
\frac{1}{p} + \frac{1}{q} = (n - 1) \left( \frac{1}{R_1} - \frac{1}{R_2} \right) \quad (36.10)
\]

This expression relates the image distance \( q \) of the image formed by a thin lens to the object distance \( p \) and to the thin-lens properties (index of refraction and radii of curvature). It is valid only for paraxial rays and only when the lens thickness is much less than \( R_1 \) and \( R_2 \).

The focal length \( f \) of a thin lens is the image distance that corresponds to an infinite object distance, just as with mirrors. Letting \( p \) approach \( \infty \) and \( q \) approach \( f \) in Equation 36.10, we see that the inverse of the focal length for a thin lens is

\[
\frac{1}{f} = (n - 1) \left( \frac{1}{R_1} - \frac{1}{R_2} \right) \quad (36.11)
\]

This relationship is called the lens makers’ equation because it can be used to determine the values of \( R_1 \) and \( R_2 \) that are needed for a given index of refraction and a desired focal length \( f \). Conversely, if the index of refraction and the radii of curvature of a lens are given, this equation enables a calculation of the focal length. If the lens is immersed in something other than air, this same equation can be used, with \( n \) interpreted as the ratio of the index of refraction of the lens material to that of the surrounding fluid.

**Quick Quiz 36.2**

What is the focal length of a pane of window glass?
Using Equation 36.11, we can write Equation 36.10 in a form identical to Equation 36.6 for mirrors:

\[
\frac{1}{p} + \frac{1}{q} = \frac{1}{f}
\]  

This equation, called the **thin-lens equation**, can be used to relate the image distance and object distance for a thin lens.

Because light can travel in either direction through a lens, each lens has two focal points, one for light rays passing through in one direction and one for rays passing through in the other direction. This is illustrated in Figure 36.24 for a biconvex lens (two convex surfaces, resulting in a converging lens) and a biconcave lens (two concave surfaces, resulting in a diverging lens). Focal point \( F_1 \) is sometimes called the **object focal point**, and \( F_2 \) is called the **image focal point**.

Figure 36.25 is useful for obtaining the signs of \( p \) and \( q \), and Table 36.3 gives the sign conventions for thin lenses. Note that these sign conventions are the same as those for refracting surfaces (see Table 36.2). Applying these rules to a biconvex lens, we see that when \( p > f \), the quantities \( p \), \( q \), and \( R_1 \) are positive, and \( R_2 \) is negative. Therefore, \( p \), \( q \), and \( f \) are all positive when a converging lens forms a real image of an object. For a biconcave lens, \( p \) and \( R_2 \) are positive and \( q \) and \( R_1 \) are negative, with the result that \( f \) is negative.

Various lens shapes are shown in Figure 36.26. Note that a converging lens is thicker at the center than at the edge, whereas a diverging lens is thinner at the center than at the edge.
Magnification of Images

Consider a thin lens through which light rays from an object pass. As with mirrors (Eq. 36.2), the lateral magnification of the lens is defined as the ratio of the image height \( h' \) to the object height \( h \):

\[
M = \frac{h'}{h} = -\frac{q}{p}
\]

From this expression, it follows that when \( M \) is positive, the image is upright and on the same side of the lens as the object. When \( M \) is negative, the image is inverted and on the side of the lens opposite the object.

Ray Diagrams for Thin Lenses

Ray diagrams are convenient for locating the images formed by thin lenses or systems of lenses. They also help clarify our sign conventions. Figure 36.27 shows such diagrams for three single-lens situations. To locate the image of a converging lens:

- **Figure 36.27a:** When the object is in front of and outside the object focal point \( F_1 \) of a converging lens, the image is real, inverted, and on the back side of the lens.
- **Figure 36.27b:** When the object is between \( F_1 \) and a converging lens, the image is virtual, upright, larger than the object, and on the front side of the lens.
- **Figure 36.27c:** When an object is anywhere in front of a diverging lens, the image is virtual, upright, smaller than the object, and on the front side of the lens.
ing lens (Fig. 36.27a and b), the following three rays are drawn from the top of the object:

- Ray 1 is drawn parallel to the principal axis. After being refracted by the lens, this ray passes through the focal point on the back side of the lens.
- Ray 2 is drawn through the center of the lens and continues in a straight line.
- Ray 3 is drawn through that focal point on the front side of the lens (or as if coming from the focal point if \( p < f \)) and emerges from the lens parallel to the principal axis.

To locate the image of a diverging lens (Fig. 36.27c), the following three rays are drawn from the top of the object:

- Ray 1 is drawn parallel to the principal axis. After being refracted by the lens, this ray emerges such that it appears to have passed through the focal point on the front side of the lens. (This apparent direction is indicated by the dashed line in Fig. 36.27c.)
- Ray 2 is drawn through the center of the lens and continues in a straight line.
- Ray 3 is drawn toward the focal point on the back side of the lens and emerges from the lens parallel to the optic axis.

Quick Quiz 36.3

In Figure 36.27a, the blue object arrow is replaced by one that is much taller than the lens. How many rays from the object will strike the lens?

For the converging lens in Figure 36.27a, where the object is to the left of the object focal point \( (p > f_1) \), the image is real and inverted. When the object is between the object focal point and the lens \( (p < f_1) \), as shown in Figure 36.27b, the image is virtual and upright. For a diverging lens (see Fig. 36.27c), the image is always virtual and upright, regardless of where the object is placed. These geometric constructions are reasonably accurate only if the distance between the rays and the principal axis is much less than the radii of the lens surfaces.

It is important to realize that refraction occurs only at the surfaces of the lens. A certain lens design takes advantage of this fact to produce the Fresnel lens, a powerful lens without great thickness. Because only the surface curvature is important in the refracting qualities of the lens, material in the middle of a Fresnel lens is removed, as shown in Figure 36.28. Because the edges of the curved segments cause some distortion, Fresnel lenses are usually used only in situations in which image quality is less important than reduction of weight.

The lines that are visible across the faces of most automobile headlights are the edges of these curved segments. A headlight requires a short-focal-length lens to collimate light from the nearby filament into a parallel beam. If it were not for the Fresnel design, the glass would be very thick in the center and quite heavy. The weight of the glass would probably cause the thin edge where the lens is supported to break when subjected to the shocks and vibrations that are typical of travel on rough roads.
Quick Quiz 36.4

If you cover the top half of a lens, which of the following happens to the appearance of the image of an object? (a) The bottom half disappears; (b) the top half disappears; (c) the entire image is visible but has half the intensity; (d) no change occurs; (e) the entire image disappears.

Example 36.9

An Image Formed by a Diverging Lens

A diverging lens has a focal length of $-20.0\,\text{cm}$. An object 2.00 cm tall is placed 30.0 cm in front of the lens. Locate the image.

Solution

Using the thin-lens equation (Eq. 36.12) with $p = 30.0\,\text{cm}$ and $f = -20.0\,\text{cm}$, we obtain

$$\frac{1}{30.0\,\text{cm}} + \frac{1}{q} = \frac{1}{-20.0\,\text{cm}}$$

$$q = -12.0\,\text{cm}$$

The negative sign tells us that the image is in front of the lens and virtual, as indicated in Figure 36.27c.

Exercise

Determine both the magnification and the height of the image.

Answer $M = 0.400; \, h' = 0.800\,\text{cm}$.

Example 36.10

An Image Formed by a Converging Lens

A converging lens of focal length 10.0 cm forms an image of each of three objects placed (a) 30.0 cm, (b) 10.0 cm, and (c) 5.00 cm in front of the lens. In each case, find the image distance and describe the image.

Solution

(a) The thin-lens equation can be used again:

$$\frac{1}{p} + \frac{1}{q} = \frac{1}{f}$$

$$\frac{1}{30.0\,\text{cm}} + \frac{1}{q} = \frac{1}{10.0\,\text{cm}}$$

$$q = 15.0\,\text{cm}$$

The positive sign indicates that the image is in back of the lens and real. The magnification is

$$M = -\frac{q}{p} = -\frac{15.0\,\text{cm}}{30.0\,\text{cm}} = -0.500$$

The image is reduced in size by one half, and the negative sign for $M$ means that the image is inverted. The situation is like that pictured in Figure 36.27a.

(b) No calculation is necessary for this case because we know that, when the object is placed at the focal point, the image is formed at infinity. We can readily verify this by substituting $p = 10.0\,\text{cm}$ into the thin-lens equation.

(c) We now move inside the focal point, to an object distance of 5.00 cm:

$$\frac{1}{5.00\,\text{cm}} + \frac{1}{q} = \frac{1}{10.0\,\text{cm}}$$

$$q = -10.0\,\text{cm}$$

$$M = -\frac{q}{p} = -\left(\frac{-10.0\,\text{cm}}{5.00\,\text{cm}}\right) = 2.00$$

The negative image distance indicates that the image is in front of the lens and virtual. The image is enlarged, and the positive sign for $M$ tells us that the image is upright, as shown in Figure 36.27b.

Example 36.11

A Lens Under Water

A converging glass lens ($n = 1.52$) has a focal length of 40.0 cm in air. Find its focal length when it is immersed in water, which has an index of refraction of 1.33.

Solution

We can use the lens makers’ equation (Eq. 36.11) in both cases, noting that $R_1$ and $R_2$ remain the same in air and water:
CHAPTER 36  Geometric Optics

Combination of Thin Lenses

If two thin lenses are used to form an image, the system can be treated in the following manner. First, the image formed by the first lens is located as if the second lens were not present. Then a ray diagram is drawn for the second lens, with the image formed by the first lens now serving as the object for the second lens. The second image formed is the final image of the system. One configuration is particularly straightforward; that is, if the image formed by the first lens lies on the back side of the second lens, then that image is treated as a virtual object for the second lens (that is, \( p \) is negative). The same procedure can be extended to a system of three or more lenses. The overall magnification of a system of thin lenses equals the product of the magnifications of the separate lenses.

Let us consider the special case of a system of two lenses in contact. Suppose two thin lenses of focal lengths \( f_1 \) and \( f_2 \) are placed in contact with each other. If \( p \) is the object distance for the combination, application of the thin-lens equation (Eq. 36.12) to the first lens gives

\[
\frac{1}{f_1} = \frac{1}{q_1} + \frac{1}{f_2}
\]

where \( q_1 \) is the image distance for the first lens. Treating this image as the object for the second lens, we see that the object distance for the second lens must be \(-q_1\) (negative because the object is virtual). Therefore, for the second lens,

\[
\frac{1}{-q_1} + \frac{1}{q} = \frac{1}{f_2}
\]

where \( q \) is the final image distance from the second lens. Adding these equations eliminates \( q_1 \) and gives

\[
\frac{1}{p} + \frac{1}{q} = \frac{1}{f_1} + \frac{1}{f_2}
\]

(36.13)

Because the two thin lenses are touching, \( q \) is also the distance of the final image from the first lens. Therefore, two thin lenses in contact with each other are equivalent to a single thin lens having a focal length given by Equation 36.13.
**Example 36.12** Where Is the Final Image?

Even when the conditions just described do not apply, the lens equations yield image position and magnification. For example, two thin converging lenses of focal lengths \(f_1 = 10.0\, \text{cm}\) and \(f_2 = 20.0\, \text{cm}\) are separated by 20.0 cm, as illustrated in Figure 36.29. An object is placed 15.0 cm to the left of lens 1. Find the position of the final image and the magnification of the system.

**Solution** First we locate the image formed by lens 1 while ignoring lens 2:

\[
\frac{1}{p_1} + \frac{1}{q_1} = \frac{1}{f_1}
\]

\[
\frac{1}{15.0\, \text{cm}} + \frac{1}{30.0\, \text{cm}} = \frac{1}{10.0\, \text{cm}}
\]

where \(q_1\) is measured from lens 1. A positive value for \(q_1\) means that this first image is in back of lens 1.

Because \(q_1\) is greater than the separation between the two lenses, this image formed by lens 1 lies 10.0 cm to the right of lens 2. We take this as the object distance for the second lens, so \(p_2 = -10.0\, \text{cm}\), where distances are now measured from lens 2:

\[
\frac{1}{p_2} + \frac{1}{q_2} = \frac{1}{f_2}
\]

\[
\frac{1}{-10.0\, \text{cm}} + \frac{1}{q_2} = \frac{1}{20.0\, \text{cm}}
\]

\[
q_2 = 6.67\, \text{cm}
\]

The final image lies 6.67 cm to the right of lens 2.

The individual magnifications of the images are

\[
M_1 = -\frac{q_1}{p_1} = -\frac{30.0\, \text{cm}}{15.0\, \text{cm}} = -2.00
\]

\[
M_2 = -\frac{q_2}{p_2} = -\frac{6.67\, \text{cm}}{-10.0\, \text{cm}} = 0.667
\]

The total magnification \(M\) is equal to the product \(M_1M_2 = (-2.00)(0.667) = -1.33\). The final image is real because \(q_2\) is positive. The image is also inverted and enlarged.

**Conceptual Example 36.13** Watch Your p’s and q’s!

Use a spreadsheet or a similar tool to create two graphs of image distance as a function of object distance—one for a lens for which the focal length is 10 cm and one for a lens for which the focal length is –10 cm.

**Solution** The graphs are shown in Figure 36.30. In each graph a gap occurs where \(p = f\), which we shall discuss. Note the similarity in the shapes—a result of the fact that image and object distances for both lenses are related according to the same equation—the thin-lens equation.

The curve in the upper right portion of the \(f = +10\, \text{cm}\) graph corresponds to an object on the front side of a lens, which we have drawn as the left side of the lens in our previous diagrams. When the object is at positive infinity, a real image forms at the focal point on the back side (the positive side) of the lens, \(q = f\). (The incoming rays are parallel in this case.) As the object gets closer to the lens, the image moves farther from the lens, corresponding to the upward path of the curve. This continues until the object is located at the focal point on the near side of the lens. At this point, the rays leaving the lens are parallel, making the image infinitely far away. This is described in the graph by the asymptotic approach of the curve to the line \(p = f = 10\, \text{cm}\).

As the object moves inside the focal point, the image becomes virtual and located near \(q = -\infty\). We are now following the curve in the lower left portion of Figure 36.30a. As the object moves closer to the lens, the virtual image also moves closer to the lens. As \(p \to 0\), the image distance \(q\) also approaches 0. Now imagine that we bring the object to the back side of the lens, where \(p < 0\). The object is now a virtual object, so it must have been formed by some other lens. For all locations of the virtual object, the image distance is positive and less than the focal length. The final image is real, and its position approaches the focal point as \(p\) gets more and more negative.

The \(f = -10\, \text{cm}\) graph shows that a distant real object forms an image at the focal point on the front side of the lens. As the object approaches the lens, the image remains
Optional Section

LENS ABERRATIONS

One problem with lenses is imperfect images. The theory of mirrors and lenses that we have been using assumes that rays make small angles with the principal axis and that the lenses are thin. In this simple model, all rays leaving a point source focus at a single point, producing a sharp image. Clearly, this is not always true. When the approximations used in this theory do not hold, imperfect images are formed.

A precise analysis of image formation requires tracing each ray, using Snell’s law at each refracting surface and the law of reflection at each reflecting surface. This procedure shows that the rays from a point object do not focus at a single point, with the result that the image is blurred. The departures of actual (imperfect) images from the ideal predicted by theory are called aberrations.

Spherical Aberrations

Spherical aberrations occur because the focal points of rays far from the principal axis of a spherical lens (or mirror) are different from the focal points of rays of the same wavelength passing near the axis. Figure 36.31 illustrates spherical aberration for parallel rays passing through a converging lens. Rays passing through points near the center of the lens are imaged farther from the lens than rays passing through points near the edges.

Many cameras have an adjustable aperture to control light intensity and reduce spherical aberration. (An aperture is an opening that controls the amount of light passing through the lens.) Sharper images are produced as the aperture size is reduced because with a small aperture only the central portion of the lens is exposed to the light; as a result, a greater percentage of the rays are paraxial. At the
Lens aberrations. (a) **Spherical aberration** occurs when light passing through the lens at different distances from the principal axis is focused at different points. (b) **Astigmatism** occurs for objects not located on the principal axis of the lens. (c) **Coma** occurs as light passing through the lens far from the principal axis and light passing near the center of the lens focus at different parts of the focal plane.

The same time, however, less light passes through the lens. To compensate for this lower light intensity, a longer exposure time is used.

In the case of mirrors used for very distant objects, spherical aberration can be minimized through the use of a parabolic reflecting surface rather than a spherical surface. Parabolic surfaces are not used often, however, because those with high-quality optics are very expensive to make. Parallel light rays incident on a parabolic surface focus at a common point, regardless of their distance from the principal axis. Parabolic reflecting surfaces are used in many astronomical telescopes to enhance image quality.

### Chromatic Aberrations

The fact that different wavelengths of light refracted by a lens focus at different points gives rise to chromatic aberrations. In Chapter 35 we described how the index of refraction of a material varies with wavelength. For instance, when white light passes through a lens, violet rays are refracted more than red rays (Fig. 36.32). From this we see that the focal length is greater for red light than for violet light. Other wavelengths (not shown in Fig. 36.32) have focal points intermediate between those of red and violet.

Chromatic aberration for a diverging lens also results in a shorter focal length for violet light than for red light, but on the front side of the lens. Chromatic aberration can be greatly reduced by combining a converging lens made of one type of glass and a diverging lens made of another type of glass.

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**Optional Section**

### The Camera

The photographic **camera** is a simple optical instrument whose essential features are shown in Figure 36.33. It consists of a light-tight box, a converging lens that produces a real image, and a film behind the lens to receive the image. One focuses the camera by varying the distance between lens and film. This is accom-
plished with an adjustable bellows in older-style cameras and with some other mechanical arrangement in modern cameras. For proper focusing—which is necessary for the formation of sharp images—the lens-to-film distance depends on the object distance as well as on the focal length of the lens.

The shutter, positioned behind the lens, is a mechanical device that is opened for selected time intervals, called exposure times. One can photograph moving objects by using short exposure times, or photograph dark scenes (with low light levels) by using long exposure times. If this adjustment were not available, it would be impossible to take stop-action photographs. For example, a rapidly moving vehicle could move enough in the time that the shutter was open to produce a blurred image. Another major cause of blurred images is the movement of the camera while the shutter is open. To prevent such movement, either short exposure times or a tripod should be used, even for stationary objects. Typical shutter speeds (that is, exposure times) are $1/30$, $1/60$, $1/125$, and $1/250$ s. For handheld cameras, the use of slower speeds can result in blurred images (due to movement), but the use of faster speeds reduces the gathered light intensity. In practice, stationary objects are normally shot with an intermediate shutter speed of $1/60$ s.

More expensive cameras have an aperture of adjustable diameter to further control the intensity of the light reaching the film. As noted earlier, when an aperture of small diameter is used, only light from the central portion of the lens reaches the film; in this way spherical aberration is reduced.

The intensity $I$ of the light reaching the film is proportional to the area of the lens. Because this area is proportional to the square of the diameter $D$, we conclude that $I$ is also proportional to $D^2$. Light intensity is a measure of the rate at which energy is received by the film per unit area of the image. Because the area of the image is proportional to $q^2$, and $q = f$ (when $p \gg f$, so $p$ can be approximated as infinite), we conclude that the intensity is also proportional to $1/f^2$, and thus $I \propto D^2/f^2$. The brightness of the image formed on the film depends on the light intensity, so we see that the image brightness depends on both the focal length and the diameter of the lens.

The ratio $f/D$ is called the f-number of a lens:

$$f\text{-number} = \frac{f}{D} \tag{36.14}$$

Hence, the intensity of light incident on the film can be expressed as

$$I \propto \frac{1}{(f/D)^2} \propto \frac{1}{(f\text{-number})^2} \tag{36.15}$$

The f-number is often given as a description of the lens “speed.” The lower the f-number, the wider the aperture and the higher the rate at which energy from the light exposes the film—thus, a lens with a low f-number is a “fast” lens. The conventional notation for an f-number is “f/” followed by the actual number. For example, “f/4” means an f-number of 4—it does not mean to divide $f$ by 4! Extremely fast lenses, which have f-numbers as low as approximately f/1.2, are expensive because it is very difficult to keep aberrations acceptably small with light rays passing through a large area of the lens. Camera lens systems (that is, combinations of lenses with adjustable apertures) are often marked with multiple f-numbers, usually f/2.8, f/4, f/5.6, f/8, f/11, and f/16. Any one of these settings can be selected by adjusting the aperture, which changes the value of $D$. Increasing the setting from one f-number to the next higher value (for example, from f/2.8 to f/4) decreases the area of the aperture by a factor of two. The lowest f-number set-
ting on a camera lens corresponds to a wide-open aperture and the use of the maximum possible lens area.

Simple cameras usually have a fixed focal length and a fixed aperture size, with an \( f \)-number of about \( f/11 \). This high value for the \( f \)-number allows for a large depth of field, meaning that objects at a wide range of distances from the lens form reasonably sharp images on the film. In other words, the camera does not have to be focused.

**Example 36.14** Finding the Correct Exposure Time

The lens of a certain 35-mm camera (where 35 mm is the width of the film strip) has a focal length of 55 mm and a speed (an \( f \)-number) of \( f/1.8 \). The correct exposure time for this speed under certain conditions is known to be \( 1/500 \) s.

(a) Determine the diameter of the lens.

**Solution** From Equation 36.14, we find that

\[
D = \frac{f}{f\text{-number}} = \frac{55 \text{ mm}}{1.8} = 31 \text{ mm}
\]

(b) Calculate the correct exposure time if the \( f \)-number is changed to \( f/4 \) under the same lighting conditions.

**Solution** The total light energy hitting the film is proportional to the product of the intensity and the exposure time. If \( I \) is the light intensity reaching the film, then in a time \( t \)

\[
\text{the energy per unit area received by the film is proportional to } It \text{. Comparing the two situations, we require that } I_1 t_1 = I_2 t_2, \text{ where } t_1 \text{ is the correct exposure time for } f/1.8 \text{ and } t_2 \text{ is the correct exposure time for } f/4. \text{ Using this result together with Equation 36.15, we find that}
\]

\[
\frac{t_1}{(f_1\text{-number})^2} = \frac{t_2}{(f_2\text{-number})^2}
\]

\[
t_2 = \left(\frac{f_2\text{-number}}{f_1\text{-number}}\right)^2 t_1
\]

\[
= \left(\frac{4}{1.8}\right)^2 \frac{1}{500} \text{ s} \approx \frac{1}{100} \text{ s}
\]

As the aperture size is reduced, exposure time must increase.

**Optional Section**

### 36.7 The Eye

Like a camera, a normal eye focuses light and produces a sharp image. However, the mechanisms by which the eye controls the amount of light admitted and adjusts to produce correctly focused images are far more complex, intricate, and effective than those in even the most sophisticated camera. In all respects, the eye is a physiological wonder.

Figure 36.34 shows the essential parts of the human eye. Light entering the eye passes through a transparent structure called the cornea, behind which are a clear liquid (the aqueous humor), a variable aperture (the pupil, which is an opening in the iris), and the crystalline lens. Most of the refraction occurs at the outer surface of the eye, where the cornea is covered with a film of tears. Relatively little refraction occurs in the crystalline lens because the aqueous humor in contact with the lens has an average index of refraction close to that of the lens. The iris, which is the colored portion of the eye, is a muscular diaphragm that controls pupil size. The iris regulates the amount of light entering the eye by dilating the pupil in low-light conditions and contracting the pupil in high-light conditions. The \( f \)-number range of the eye is from about \( f/2.8 \) to \( f/16 \).

The cornea–lens system focuses light onto the back surface of the eye, the retina, which consists of millions of sensitive receptors called rods and cones. When stimulated by light, these receptors send impulses via the optic nerve to the brain,
where an image is perceived. By this process, a distinct image of an object is observed when the image falls on the retina.

The eye focuses on an object by varying the shape of the pliable crystalline lens through an amazing process called **accommodation**. An important component of accommodation is the *ciliary muscle*, which is situated in a circle around the rim of the lens. Thin filaments, called *zonules*, run from this muscle to the edge of the lens. When the eye is focused on a distant object, the ciliary muscle is relaxed, tightening the zonules that attach the muscle to the edge of the lens. The force of the zonules causes the lens to flatten, increasing its focal length. For an object distance of infinity, the focal length of the eye is equal to the fixed distance between lens and retina, about 1.7 cm. The eye focuses on nearby objects by tensing the ciliary muscle, which relaxes the zonules. This action allows the lens to bulge a bit, and its focal length decreases, resulting in the image being focused on the retina. All these lens adjustments take place so swiftly that we are not even aware of the change. In this respect, even the finest electronic camera is a toy compared with the eye.

Accommodation is limited in that objects that are very close to the eye produce blurred images. The **near point** is the closest distance for which the lens can accommodate to focus light on the retina. This distance usually increases with age and has an average value of 25 cm. Typically, at age 10 the near point of the eye is about 18 cm. It increases to about 25 cm at age 20, to 50 cm at age 40, and to 500 cm or greater at age 60. The **far point** of the eye represents the greatest distance for which the lens of the relaxed eye can focus light on the retina. A person with normal vision can see very distant objects, such as the Moon, and thus has a far point near infinity.

Recall that the light leaving the mirror in Figure 36.8 becomes white where it comes together but then diverges into separate colors again. Because nothing but air exists at the point where the rays cross (and hence nothing exists to cause the colors to separate again), seeing white light as a result of a combination of colors must be a visual illusion. In fact, this is the case. Only three types of color-sensitive
cells are present in the retina; they are called red, green, and blue cones because of the peaks of the color ranges to which they respond (Fig. 36.35). If the red and green cones are stimulated simultaneously (as would be the case if yellow light were shining on them), the brain interprets what we see as yellow. If all three types of cones are stimulated by the separate colors red, blue, and green, as in Figure 36.8, we see white. If all three types of cones are stimulated by light that contains 

all colors, such as sunlight, we again see white light.

Color televisions take advantage of this visual illusion by having only red, green, and blue dots on the screen. With specific combinations of brightness in these three primary colors, our eyes can be made to see any color in the rainbow. Thus, the yellow lemon you see in a television commercial is not really yellow, it is red and green! The paper on which this page is printed is made of tiny, matted, translucent fibers that scatter light in all directions; the resultant mixture of colors appears white to the eye. Snow, clouds, and white hair are not really white. In fact, there is no such thing as a white pigment. The appearance of these things is a consequence of the scattering of light containing all colors, which we interpret as white.

**Conditions of the Eye**

When the eye suffers a mismatch between the focusing range of the lens–cornea system and the length of the eye, with the result that light rays reach the retina before they converge to form an image, as shown in Figure 36.36a, the condition is known as **farsightedness** (or hyperopia). A farsighted person can usually see faraway objects clearly but not nearby objects. Although the near point of a normal eye is approximately 25 cm, the near point of a farsighted person is much farther away. The eye of a farsighted person tries to focus by accommodation—that is, by shortening its focal length. This works for distant objects, but because the focal length of the farsighted eye is greater than normal, the light from nearby objects cannot be brought to a sharp focus before it reaches the retina, and it thus causes a blurred image. The refracting power in the cornea and lens is insufficient to focus the light from all but distant objects satisfactorily. The condition can be corrected by placing a converging lens in front of the eye, as shown in Figure 36.36b. The lens refracts the incoming rays more toward the principal axis before entering the eye, allowing them to converge and focus on the retina.

A person with **nearsightedness** (or myopia), another mismatch condition, can focus on nearby objects but not on faraway objects. In the case of axial myopia, the nearsightedness is caused by the lens being too far from the retina. In refractive my-
CHAPTER 36  Geometric Optics

Figure 36.36  (a) When a farsighted eye looks at an object located between the near point and the eye, the image point is behind the retina, resulting in blurred vision. The eye muscle contracts to try to bring the object into focus. (b) Farsightedness is corrected with a converging lens.

Figure 36.37  (a) When a nearsighted eye looks at an object that lies beyond the eye’s far point, the image is formed in front of the retina, resulting in blurred vision. (b) Nearsightedness is corrected with a diverging lens.
The lens–cornea system is too powerful for the length of the eye. The far point of the nearsighted eye is not infinity and may be less than 1 m. The maximum focal length of the nearsighted eye is insufficient to produce a sharp image on the retina, and rays from a distant object converge to a focus in front of the retina. They then continue past that point, diverging before they finally reach the retina and causing blurred vision (Fig. 36.37a). Nearsightedness can be corrected with a diverging lens, as shown in Figure 36.37b. The lens refracts the rays away from the principal axis before they enter the eye, allowing them to focus on the retina.

**Quick Quiz 36.5**

Which glasses in Figure 36.38 correct nearsightedness and which correct farsightedness?

Beginning in middle age, most people lose some of their accommodation ability as the ciliary muscle weakens and the lens hardens. Unlike farsightedness, which is a mismatch between focusing power and eye length, **presbyopia** (literally, “old-age vision”) is due to a reduction in accommodation ability. The cornea and lens do not have sufficient focusing power to bring nearby objects into focus on the retina. The symptoms are the same as those of farsightedness, and the condition can be corrected with converging lenses.

In the eye defect known as **astigmatism**, light from a point source produces a line image on the retina. This condition arises when either the cornea or the lens or both are not perfectly symmetric. Astigmatism can be corrected with lenses that have different curvatures in two mutually perpendicular directions.
Optometrists and ophthalmologists usually prescribe lenses measured in diopters:

The power $P$ of a lens in diopters equals the inverse of the focal length in meters: $P = 1/f$.

For example, a converging lens of focal length $20$ cm has a power of $5.0$ diopters, and a diverging lens of focal length $-40$ cm has a power of $-2.5$ diopters.

**Example 36.15 A Case of Nearsightedness**

A particular nearsighted person is unable to see objects clearly when they are beyond $2.5$ m away (the far point of this particular eye). What should the focal length be in a lens prescribed to correct this problem?

**Solution** The purpose of the lens in this instance is to “move” an object from infinity to a distance where it can be seen clearly. This is accomplished by having the lens produce an image at the far point. From the thin-lens equation, we have

$$\frac{1}{p} + \frac{1}{q} = \frac{1}{\infty} + \frac{1}{-2.5 \text{ m}} = \frac{1}{f}$$

Why did we use a negative sign for the image distance? As you should have suspected, the lens must be a diverging lens (one with a negative focal length) to correct nearsightedness.

**Exercise** What is the power of this lens?

**Answer** $-0.40$ diopter.

---

**Optional Section**

**36.8 THE SIMPLE MAGNIFIER**

The simple magnifier consists of a single converging lens. As the name implies, this device increases the apparent size of an object.

Suppose an object is viewed at some distance $p$ from the eye, as illustrated in Figure 36.39. The size of the image formed at the retina depends on the angle $\theta$ subtended by the object at the eye. As the object moves closer to the eye, $\theta$ increases and a larger image is observed. However, an average normal eye cannot focus on an object closer than about $25$ cm, the near point (Fig. 36.40a). Therefore, $\theta$ is maximum at the near point.

To further increase the apparent angular size of an object, a converging lens can be placed in front of the eye as in Figure 36.40b, with the object located at point $O$, just inside the focal point of the lens. At this location, the lens forms a virtual, upright, enlarged image. We define angular magnification $m$ as the ratio of the angle subtended by an object with a lens in use (angle $\theta$ in Fig. 36.40b) to the angle subtended by the object placed at the near point with no lens in use (angle

---

1 The word *lens* comes from *lentil*, the name of an Italian legume. (You may have eaten lentil soup.) Early eyeglasses were called “glass lentils” because the biconvex shape of their lenses resembled the shape of a lentil. The first lenses for farsightedness and presbyopia appeared around 1280; concave eyeglasses for correcting nearsightedness did not appear for more than 100 years after that.
The angular magnification is a maximum when the image is at the near point of the eye—that is, when \( q = -25 \text{ cm} \). The object distance corresponding to this image distance can be calculated from the thin-lens equation:

\[
\frac{1}{p} + \frac{1}{-25 \text{ cm}} = \frac{1}{f}
\]

where \( f \) is the focal length of the magnifier in centimeters. If we make the small-angle approximations

\[
\tan \theta_0 \approx \theta_0 \approx \frac{h}{25} \quad \text{and} \quad \tan \theta \approx \theta \approx \frac{h}{p}
\]

Equation 36.16 becomes

\[
m_{\text{max}} = \frac{\theta}{\theta_0} = \frac{h/p}{h/25} = \frac{25}{p} = \frac{25}{25f/(25 + f)}
\]

\[
m_{\text{max}} = 1 + \frac{25 \text{ cm}}{f}
\]

Although the eye can focus on an image formed anywhere between the near point and infinity, it is most relaxed when the image is at infinity. For the image formed by the magnifying lens to appear at infinity, the object has to be at the focal point of the lens. In this case, Equations 36.17 become

\[
\theta_0 = \frac{h}{25} \quad \text{and} \quad \theta = \frac{h}{f}
\]
and the magnification is

\[ m_{\text{min}} = \frac{\theta}{\theta_0} = \frac{25 \text{ cm}}{f} \] (36.19)

With a single lens, it is possible to obtain angular magnifications up to about 4 without serious aberrations. Magnifications up to about 20 can be achieved by using one or two additional lenses to correct for aberrations.

**Example 36.16  Maximum Magnification of a Lens**

What is the maximum magnification that is possible with a lens having a focal length of 10 cm, and what is the magnification of this lens when the eye is relaxed?

**Solution** The maximum magnification occurs when the image is located at the near point of the eye. Under these circumstances, Equation 36.18 gives

\[ m_{\text{max}} = 1 + \frac{25 \text{ cm}}{f} = 1 + \frac{25 \text{ cm}}{10 \text{ cm}} = 3.5 \]

When the eye is relaxed, the image is at infinity. In this case, we use Equation 36.19:

\[ m_{\text{min}} = \frac{25 \text{ cm}}{f} = \frac{25 \text{ cm}}{10 \text{ cm}} = 2.5 \]

**Optional Section**

**36.9  THE COMPOUND MICROSCOPE**

A simple magnifier provides only limited assistance in inspecting minute details of an object. Greater magnification can be achieved by combining two lenses in a device called a compound microscope, a schematic diagram of which is shown in Figure 36.41a. It consists of one lens, the objective, that has a very short focal length.

![Diagram of a compound microscope](image)

**Figure 36.41** (a) Diagram of a compound microscope, which consists of an objective lens and an eyepiece lens. (b) A compound microscope. The three-objective turret allows the user to choose from several powers of magnification. Combinations of eyepieces with different focal lengths and different objectives can produce a wide range of magnifications.
The Compound Microscope

A compound microscope consists of two lenses: the objective, with a focal length $f_o$, and a second lens, the eyepiece, with a focal length $f_e$ of a few centimeters. The two lenses are separated by a distance $L$ that is much greater than either $f_o$ or $f_e$. The object, which is placed just outside the focal point of the objective, forms a real, inverted image at $I_1$, and this image is located at or close to the focal point of the eyepiece. The eyepiece, which serves as a simple magnifier, produces at $I_2$ a virtual, inverted image of $I_1$. The lateral magnification $M_1$ of the first image is $-q_1/p_1$. Note from Figure 36.41a that $q_1$ is approximately equal to $L$ and that the object is very close to the focal point of the objective: $p_1 \approx f_o$. Thus, the lateral magnification by the objective is

$$M_1 = -\frac{L}{f_o}$$

The angular magnification by the eyepiece for an object (corresponding to the image at $I_1$) placed at the focal point of the eyepiece is, from Equation 36.19,

$$m_e = \frac{25 \text{ cm}}{f_e}$$

The overall magnification of the compound microscope is defined as the product of the lateral and angular magnifications:

$$M = M_1 m_e = -\frac{L}{f_o} \left( \frac{25 \text{ cm}}{f_e} \right)$$

The negative sign indicates that the image is inverted.

The microscope has extended human vision to the point where we can view previously unknown details of incredibly small objects. The capabilities of this instrument have steadily increased with improved techniques for precision grinding of lenses. An often-asked question about microscopes is: “If one were extremely patient and careful, would it be possible to construct a microscope that would enable the human eye to see an atom?” The answer is no, as long as light is used to illuminate the object. The reason is that, for an object under an optical microscope (one that uses visible light) to be seen, the object must be at least as large as a wavelength of light. Because the diameter of any atom is many times smaller than the wavelengths of visible light, the mysteries of the atom must be probed using other types of “microscopes.”

The ability to use other types of waves to “see” objects also depends on wavelength. We can illustrate this with water waves in a bathtub. Suppose you vibrate your hand in the water until waves having a wavelength of about 15 cm are moving along the surface. If you hold a small object, such as a toothpick, so that it lies in the path of the waves, it does not appreciably disturb the waves; they continue along their path “oblivious” to it. Now suppose you hold a larger object, such as a toy sailboat, in the path of the 15-cm waves. In this case, the waves are considerably disturbed by the object. Because the toothpick was smaller than the wavelength of the waves, the waves did not “see” it (the intensity of the scattered waves was low). Because it is about the same size as the wavelength of the waves, however, the boat creates a disturbance. In other words, the object acts as the source of scattered waves that appear to come from it.

Light waves behave in this same general way. The ability of an optical microscope to view an object depends on the size of the object relative to the wavelength of the light used to observe it. Hence, we can never observe atoms with an optical microscope.
microscope\textsuperscript{2} because their dimensions are small (\( \approx 0.1 \) nm) relative to the wavelength of the light (\( \approx 500 \) nm).

**Optional Section**

### 36.10 THE TELESCOPE

Two fundamentally different types of telescopes exist; both are designed to aid in viewing distant objects, such as the planets in our Solar System. The **refracting telescope** uses a combination of lenses to form an image, and the **reflecting telescope** uses a curved mirror and a lens.

The lens combination shown in Figure 36.42a is that of a refracting telescope. Like the compound microscope, this telescope has an objective and an eyepiece. The two lenses are arranged so that the objective forms a real, inverted image of the distant object very near the focal point of the eyepiece. Because the object is essentially at infinity, this point at which \( I_1 \) forms is the focal point of the objective. Hence, the two lenses are separated by a distance \( f_o + f_e \), which corresponds to the length of the telescope tube. The eyepiece then forms, at \( I_2 \), an enlarged, inverted image of the image at \( I_1 \).

The angular magnification of the telescope is given by \( \frac{\theta}{\theta_o} \), where \( \theta_o \) is the angle subtended by the object at the objective and \( \theta \) is the angle subtended by the final image at the viewer’s eye. Consider Figure 36.42a, in which the object is a very great distance to the left of the figure. The angle \( \theta_o \) (to the left of the objective) subtended by the object at the objective is the same as the angle (to the right of the objective) subtended by the first image at the objective. Thus,

\[
\tan \theta_o \approx \theta_o \approx -\frac{h'}{f_o}
\]

where the negative sign indicates that the image is inverted.

The angle \( \theta \) subtended by the final image at the eye is the same as the angle that a ray coming from the tip of \( I_1 \) and traveling parallel to the principal axis makes with the principal axis after it passes through the lens. Thus,

\[
\tan \theta \approx \theta = \frac{h'}{f_e}
\]

We have not used a negative sign in this equation because the final image is not inverted; the object creating this final image \( I_2 \) is \( I_1 \), and both it and \( I_2 \) point in the same direction. To see why the adjacent side of the triangle containing angle \( \theta \) is \( f_e \) and not \( 2f_e \), note that we must use only the bent length of the refracted ray. Hence, the angular magnification of the telescope can be expressed as

\[
m = \frac{\theta}{\theta_o} = \frac{h'/f_e}{-h'/f_o} = -\frac{f_o}{f_e}
\]

(36.21)

and we see that the angular magnification of a telescope equals the ratio of the objective focal length to the eyepiece focal length. The negative sign indicates that the image is inverted.

**Quick Quiz 36.6**

Why isn’t the lateral magnification given by Equation 36.1 a useful concept for telescopes?

\textsuperscript{2} Single-molecule near-field optic studies are routinely performed with visible light having wavelengths of about 500 nm. The technique uses very small apertures to produce images having resolution as small as 10 nm.
When we look through a telescope at such relatively nearby objects as the Moon and the planets, magnification is important. However, stars are so far away that they always appear as small points of light no matter how great the magnification. A large research telescope that is used to study very distant objects must have a great diameter to gather as much light as possible. It is difficult and expensive to manufacture large lenses for refracting telescopes. Another difficulty with large lenses is that their weight leads to sagging, which is an additional source of aberration. These problems can be partially overcome by replacing the objective with a concave mirror, which results in a reflecting telescope. Because light is reflected from the mirror and does not pass through a lens, the mirror can have rigid supports on the back side. Such supports eliminate the problem of sagging.

Figure 36.43 shows the design for a typical reflecting telescope. Incoming light rays pass down the barrel of the telescope and are reflected by a parabolic mirror at the base. These rays converge toward point A in the figure, where an image would be formed. However, before this image is formed, a small, flat mirror M reflects the light toward an opening in the side of the tube that passes into an eyepiece. This particular design is said to have a Newtonian focus because Newton developed it. Note that in the reflecting telescope the light never passes through glass (except through the small eyepiece). As a result, problems associated with chromatic aberration are virtually eliminated.

The largest reflecting telescopes in the world are at the Keck Observatory on Mauna Kea, Hawaii. The site includes two telescopes with diameters of 10 m, each containing 36 hexagonally shaped, computer-controlled mirrors that work together to form a large reflecting surface. In contrast, the largest refracting telescope in the world, at the Yerkes Observatory in Williams Bay, Wisconsin, has a diameter of only 1 m.
Summary

The lateral magnification $M$ of a mirror or lens is defined as the ratio of the image height $h'$ to the object height $h$:

$$M = \frac{h'}{h} \tag{36.1}$$

In the paraxial ray approximation, the object distance $p$ and image distance $q$ for a spherical mirror of radius $R$ are related by the mirror equation:

$$\frac{1}{p} + \frac{1}{q} = \frac{2}{R} = \frac{1}{f} \tag{36.4, 36.6}$$

where $f = R/2$ is the focal length of the mirror.

An image can be formed by refraction from a spherical surface of radius $R$. The object and image distances for refraction from such a surface are related by

$$\frac{n_1}{p} + \frac{n_2}{q} = \frac{n_2 - n_1}{R} \tag{36.8}$$

where the light is incident in the medium for which the index of refraction is $n_1$ and is refracted in the medium for which the index of refraction is $n_2$.

The inverse of the focal length $f$ of a thin lens surrounded by air is given by the lens makers’ equation:

$$\frac{1}{f} = (n - 1) \left( \frac{1}{R_1} - \frac{1}{R_2} \right) \tag{36.11}$$

Converging lenses have positive focal lengths, and diverging lenses have negative focal lengths.

For a thin lens, and in the paraxial ray approximation, the object and image distances are related by the thin-lens equation:

$$\frac{1}{p} + \frac{1}{q} = \frac{1}{f} \tag{36.12}$$

Questions

1. What is wrong with the caption of the cartoon shown in Figure Q36.1?
2. Using a simple ray diagram, such as the one shown in Figure 36.2, show that a flat mirror whose top is at eye level need not be as long as you are for you to see your entire body in it.
3. Consider a concave spherical mirror with a real object. Is the image always inverted? Is the image always real? Give conditions for your answers.
4. Repeat the preceding question for a convex spherical mirror.
5. Why does a clear stream of water, such as a creek, always appear to be shallower than it actually is? By how much is its depth apparently reduced?
6. Consider the image formed by a thin converging lens. Under what conditions is the image (a) inverted, (b) upright, (c) real, (d) virtual, (e) larger than the object, and (f) smaller than the object?
7. Repeat Question 6 for a thin diverging lens.
8. Use the lens makers’ equation to verify the sign of the focal length of each of the lenses in Figure 36.26.

“Most mirrors reverse left and right. This one reverses top and bottom.”

Figure Q36.1
9. If a cylinder of solid glass or clear plastic is placed above the words LEAD OXIDE and viewed from the side as shown in Figure Q36.9, the LEAD appears inverted but the OXIDE does not. Explain.

10. If the camera “sees” a movie actor’s reflection in a mirror, what does the actor see in the mirror?

11. Explain why a mirror cannot give rise to chromatic aberration.

12. Why do some automobile mirrors have printed on them the statement “Objects in mirror are closer than they appear”? (See Fig. Q36.12.)

14. Explain why a fish in a spherical goldfish bowl appears larger than it really is.

15. Lenses used in eyeglasses, whether converging or diverging, are always designed such that the middle of the lens curves away from the eye, like the center lenses of Figure 36.26a and b. Why?

16. A mirage is formed when the air gets gradually cooler with increasing altitude. What might happen if the air grew gradually warmer with altitude? This often happens over bodies of water or snow-covered ground; the effect is called looming.

17. Consider a spherical concave mirror, with an object positioned to the left of the mirror beyond the focal point. Using ray diagrams, show that the image moves to the left as the object approaches the focal point.

18. In a Jules Verne novel, a piece of ice is shaped into a magnifying lens to focus sunlight to start a fire. Is this possible?

19. The $f$-number of a camera is the focal length of the lens divided by its aperture (or diameter). How can the $f$-number of the lens be changed? How does changing this number affect the required exposure time?

20. A solar furnace can be constructed through the use of a concave mirror to reflect and focus sunlight into a furnace enclosure. What factors in the design of the reflecting mirror would guarantee very high temperatures?

21. One method for determining the position of an image, either real or virtual, is by means of parallax. If a finger or another object is placed at the position of the image, as shown in Figure Q36.21, and the finger and the image are viewed simultaneously (the image is viewed through the lens if it is virtual), the finger and image have the same parallax; that is, if the image is viewed from different positions, it will appear to move along with the finger. Use this method to locate the image formed by a lens. Explain why the method works.

22. Figure Q36.22 shows a lithograph by M. C. Escher titled Hand with Reflection Sphere (Self-Portrait in Spherical Mirror). Escher had this to say about the work: “The picture shows a spherical mirror, resting on a left hand. But as a print is the reverse of the original drawing on stone, it was my right hand that you see depicted. (Being left-handed, I needed my left hand to make the drawing.) Such a globe reflection collects almost one’s whole surroundings in one disk-shaped image. The whole room, four walls, the
floor, and the ceiling, everything, albeit distorted, is compressed into that one small circle. Your own head, or more exactly the point between your eyes, is the absolute center. No matter how you turn or twist yourself, you can’t get out of that central point. You are immovably the focus, the unshakable core, of your world.” Comment on the accuracy of Escher’s description.

23. You can make a corner reflector by placing three flat mirrors in the corner of a room where the ceiling meets the walls. Show that no matter where you are in the room, you can see yourself reflected in the mirrors—upside down.

**Figure Q36.22**

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**PROBLEMS**

1, 2, 3 = straightforward, intermediate, challenging  
**WEB** = full solution available in the Student Solutions Manual and Study Guide  
= Computer useful in solving problem  
= Interactive Physics  
= paired numerical/symbolic problems

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**Section 36.1 Images Formed by Flat Mirrors**

1. Does your bathroom mirror show you older or younger than you actually are? Compute an order-of-magnitude estimate for the age difference, based on data that you specify.

2. In a church choir loft, two parallel walls are 5.30 m apart. The singers stand against the north wall. The organist faces the south wall, sitting 0.800 m away from it. To enable her to see the choir, a flat mirror 0.600 m wide is mounted on the south wall, straight in front of her. What width of the north wall can she see? **Hint:** Draw a top-view diagram to justify your answer.

3. Determine the minimum height of a vertical flat mirror in which a person 5’10” in height can see his or her full image. (A ray diagram would be helpful.)

4. Two flat mirrors have their reflecting surfaces facing each other, with an edge of one mirror in contact with an edge of the other, so that the angle between the mirrors is \( \alpha \). When an object is placed between the mirrors, a number of images are formed. In general, if the angle \( \alpha \) is such that \( n \alpha = 360^\circ \), where \( n \) is an integer, the number of images formed is \( n - 1 \). Graphically, find all the image positions for the case \( n = 6 \) when a point object is between the mirrors (but not on the angle bisector).

5. A person walks into a room with two flat mirrors on opposite walls, which produce multiple images. When the person is 5.00 ft from the mirror on the left wall and 10.0 ft from the mirror on the right wall, find the distances from that person to the first three images seen in the mirror on the left.

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**Section 36.2 Images Formed by Spherical Mirrors**

6. A concave spherical mirror has a radius of curvature of 20.0 cm. Find the location of the image for object distances of (a) 40.0 cm, (b) 20.0 cm, and (c) 10.0 cm. For each case, state whether the image is real or virtual and upright or inverted, and find the magnification.

7. At an intersection of hospital hallways, a convex mirror is mounted high on a wall to help people avoid collisions. The mirror has a radius of curvature of 0.550 m. Locate and describe the image of a patient 10.0 m from the mirror. Determine the magnification.

8. A large church has a niche in one wall. On the floor plan it appears as a semicircular indentation of radius 2.50 m. A worshiper stands on the center line of the niche, 2.00 m out from its deepest point, and whispers a prayer. Where is the sound concentrated after reflection from the back wall of the niche?
A spherical convex mirror has a radius of curvature of 40.0 cm. Determine the position of the virtual image and the magnification (a) for an object distance of 30.0 cm and (b) for an object distance of 60.0 cm. (c) Are the images upright or inverted?

10. The height of the real image formed by a concave mirror is four times the object height when the object is 30.0 cm in front of the mirror. (a) What is the radius of curvature of the mirror? (b) Use a ray diagram to locate this image.

11. A concave mirror has a radius of curvature of 60.0 cm. Calculate the image position and magnification of an object placed in front of the mirror (a) at a distance of 90.0 cm and (b) at a distance of 20.0 cm. (c) In each case, draw ray diagrams to obtain the image characteristics.

12. A concave mirror has a focal length of 40.0 cm. Determine the object position for which the resulting image is upright and four times the size of the object.

13. A spherical mirror is to be used to form, on a screen 5.00 m from the object, an image five times the size of the object. (a) Describe the type of mirror required. (b) Where should the mirror be positioned relative to the object?

14. A rectangle 10.0 cm × 20.0 cm is placed so that its right edge is 40.0 cm to the left of a concave spherical mirror, as in Figure P36.14. The radius of curvature of the mirror is 20.0 cm. (a) Draw the image formed by this mirror. (b) What is the area of the image?

15. A dedicated sports-car enthusiast polishes the inside and outside surfaces of a hubcap that is a section of a sphere. When she looks into one side of the hubcap, she sees an image of her face 30.0 cm in back of the hubcap. She then flips the hubcap over and sees another image of her face 10.0 cm in back of the hubcap. (a) How far is her face from the hubcap? (b) What is the radius of curvature of the hubcap?

16. An object is 15.0 cm from the surface of a reflective spherical Christmas-tree ornament 6.00 cm in diameter. What are the magnification and position of the image?

17. A ball is dropped from rest 3.00 m directly above the vertex of a concave mirror that has a radius of 1.00 m and lies in a horizontal plane. (a) Describe the motion of the ball’s image in the mirror. (b) At what time do the ball and its image coincide?

**Section 36.3 Images Formed by Refraction**

18. A flint-glass plate (n = 1.66) rests on the bottom of an aquarium tank. The plate is 8.00 cm thick (vertical dimension) and covered with water (n = 1.33) to a depth of 12.0 cm. Calculate the apparent thickness of the plate as viewed from above the water. (Assume nearly normal incidence.)

19. A cubical block of ice 50.0 cm on a side is placed on a level floor over a speck of dust. Find the location of the image of the speck if the index of refraction of ice is 1.309.

20. A simple model of the human eye ignores its lens entirely. Most of what the eye does to light happens at the transparent cornea. Assume that this outer surface has a 6.00-mm radius of curvature, and assume that the eyeball contains just one fluid with an index of refraction of 1.40. Prove that a very distant object will be imaged on the retina, 21.0 mm behind the cornea. Describe the image.

21. A glass sphere (n = 1.50) with a radius of 15.0 cm has a tiny air bubble 5.00 cm above its center. The sphere is viewed looking down along the extended radius containing the bubble. What is the apparent depth of the bubble below the surface of the sphere?

22. A transparent sphere of unknown composition is observed to form an image of the Sun on the surface of the sphere opposite the Sun. What is the refractive index of the sphere material?

23. One end of a long glass rod (n = 1.50) is formed into a convex surface of radius 6.00 cm. An object is positioned in air along the axis of the rod. Find the image positions corresponding to object distances of (a) 20.0 cm, (b) 10.0 cm, and (c) 3.00 cm from the end of the rod.

24. A goldfish is swimming at 2.00 cm/s toward the front wall of a rectangular aquarium. What is the apparent speed of the fish as measured by an observer looking in from outside the front wall of the tank? The index of refraction of water is 1.33.

25. A goldfish is swimming inside a spherical plastic bowl of water, with an index of refraction of 1.33. If the goldfish is 10.0 cm from the wall of the 15.0-cm-radius bowl, where does it appear to an observer outside the bowl?

**Section 36.4 Thin Lenses**

26. A contact lens is made of plastic with an index of refraction of 1.50. The lens has an outer radius of curvature of + 2.00 cm and an inner radius of curvature of + 2.50 cm. What is the focal length of the lens?

27. The left face of a biconvex lens has a radius of curvature of magnitude 12.0 cm, and the right face has a radius of curvature of magnitude 18.0 cm. The index of refraction of the glass is 1.44. (a) Calculate the focal length of the lens. (b) Calculate the focal length if the radii of curvature of the two faces are interchanged.
28. A converging lens has a focal length of 20.0 cm. Locate the image for object distances of (a) 40.0 cm, (b) 20.0 cm, and (c) 10.0 cm. For each case, state whether the image is real or virtual and upright or inverted. Find the magnification in each case.

29. A thin lens has a focal length of 25.0 cm. Locate and describe the image when the object is placed (a) 26.0 cm and (b) 24.0 cm in front of the lens.

30. An object positioned 32.0 cm in front of a lens forms an image on a screen 8.00 cm behind the lens. (a) Find the focal length of the lens. (b) Determine the magnification. (c) Is the lens converging or diverging?

31. The nickel’s image in Figure P36.31 has twice the diameter of the nickel and is 2.84 cm from the lens. Determine the focal length of the lens.

32. A magnifying glass is a converging lens of focal length 15.0 cm. At what distance from a postage stamp should you hold this lens to get a magnification of + 2.00?

33. A transparent photographic slide is placed in front of a converging lens with a focal length of 2.44 cm. The lens forms an image of the slide 12.9 cm from the slide. How far is the lens from the slide if the image is (a) real? (b) virtual?

34. A person looks at a gem with a jeweler’s loupe—a converging lens that has a focal length of 12.5 cm. The loupe forms a virtual image 30.0 cm from the lens. (a) Determine the magnification. Is the image upright or inverted? (b) Construct a ray diagram for this arrangement.

35. Suppose an object has thickness $dp$ so that it extends from object distance $p$ to $p + dp$. Prove that the thickness $dq$ of its image is given by $(-q^2/p^2)dp$, so the longitudinal magnification $dq/dp = -M^2$, where $M$ is the lateral magnification.

36. The projection lens in a certain slide projector is a single thin lens. A slide 24.0 mm high is to be projected so that its image fills a screen 1.80 m high. The slide-to-screen distance is 3.00 m. (a) Determine the focal length of the projection lens. (b) How far from the slide should the lens of the projector be placed to form the image on the screen?

37. An object is positioned 20.0 cm to the left of a diverging lens with focal length $f = -32.0$ cm. Determine (a) the location and (b) the magnification of the image. (c) Construct a ray diagram for this arrangement.

38. Figure P36.38 shows a thin glass ($n = 1.50$) converging lens for which the radii of curvature are $R_1 = 15.0$ cm and $R_2 = -12.0$ cm. To the left of the lens is a cube with a face area of 100 cm$^2$. The base of the cube is on the axis of the lens, and the right face is 20.0 cm to the left of the lens. (a) Determine the focal length of the lens. (b) Draw the image of the square face formed by the lens. What type of geometric figure is this? (c) Determine the area of the image.

39. An object is 5.00 m to the left of a flat screen. A converging lens for which the focal length is $f = 0.800$ m is placed between object and screen. (a) Show that two lens positions exist that form images on the screen, and determine how far these positions are from the object. (b) How do the two images differ from each other?

40. An object is at a distance $d$ to the left of a flat screen. A converging lens with focal length $f < d/4$ is placed between object and screen. (a) Show that two lens positions exist that form an image on the screen, and determine how far these positions are from the object. (b) How do the two images differ from each other?

41. Figure 36.33 diagrams a cross-section of a camera. It has a single lens with a focal length of 65.0 mm, which is to form an image on the film at the back of the camera. Suppose the position of the lens has been adjusted to focus the image of a distant object. How far and in what direction must the lens be moved to form a sharp image of an object that is 2.00 m away?

(Optional) Section 36.5 Lens Aberrations

42. The magnitudes of the radii of curvature are 32.5 cm and 42.5 cm for the two faces of a biconcave lens. The lens has index 1.53 for violet light and 1.51 for red light. For a very distant object, locate and describe (a) the image formed by violet light and (b) the image formed by red light.
43. Two rays traveling parallel to the principal axis strike a large plano-convex lens having a refractive index of 1.60 (Fig. P36.43). If the convex face is spherical, a ray near the edge does not pass through the focal point (spherical aberration occurs). If this face has a radius of curvature of magnitude 20.0 cm and the two rays are $h_1 = 0.500$ cm and $h_2 = 12.0$ cm from the principal axis, find the difference in the positions where they cross the principal axis.

![Figure P36.43](image)

Optional

Section 36.7  The Eye

44. The accommodation limits for Nearsighted Nick’s eyes are 18.0 cm and 80.0 cm. When he wears his glasses, he can see faraway objects clearly. At what minimum distance can he see objects clearly?

45. A nearsighted person cannot see objects clearly beyond 25.0 cm (her far point). If she has no astigmatism and contact lenses are prescribed for her, what power and type of lens are required to correct her vision?

46. A person sees clearly when he wears eyeglasses that have a power of $-4.00$ diopters and sit 2.00 cm in front of his eyes. If he wants to switch to contact lenses, which are placed directly on the eyes, what lens power should be prescribed?

(Optional)

Section 36.8  The Simple Magnifier

Section 36.9  The Compound Microscope

Section 36.10  The Telescope

47. A philatelist examines the printing detail on a stamp, using a biconvex lens with a focal length of 10.0 cm as a simple magnifier. The lens is held close to the eye, and the lens-to-object distance is adjusted so that the virtual image is formed at the normal near point (25.0 cm). Calculate the magnification.

48. A lens that has a focal length of 5.00 cm is used as a magnifying glass. (a) Where should the object be placed to obtain maximum magnification? (b) What is the magnification?

49. The distance between the eyepiece and the objective lens in a certain compound microscope is 23.0 cm. The focal length of the eyepiece is 2.50 cm, and that of the objective is 0.400 cm. What is the overall magnification of the microscope?

50. The desired overall magnification of a compound microscope is 140×. The objective alone produces a lateral magnification of 12.0×. Determine the required focal length of the eyepiece.

51. The Yerkes refracting telescope has a 1.00-m-diameter objective lens with a focal length of 20.0 m. Assume that it is used with an eyepiece that has a focal length of 2.50 cm. (a) Determine the magnification of the planet Mars as seen through this telescope. (b) Are the Martian polar caps seen right side up or upside down?

52. Astronomers often take photographs with the objective lens or the mirror of a telescope alone, without an eyepiece. (a) Show that the image size $h'$ for this telescope is given by $h' = fh/(f - p)$, where $h$ is the object size, $f$ is the objective focal length, and $p$ is the object distance. (b) Simplify the expression in part (a) for the case in which the object distance is much greater than objective focal length. (c) The “wingspan” of the International Space Station is 108.6 m, the overall width of its solar-panel configuration. Find the width of the image formed by a telescope objective of focal length 4.00 m when the station is orbiting at an altitude of 407 km.

53. Galileo devised a simple terrestrial telescope that produces an upright image. It consists of a converging objective lens and a diverging eyepiece at opposite ends of the telescope tube. For distant objects, the tube length is the objective focal length less the absolute value of the eyepiece focal length. (a) Does the user of the telescope see a real or virtual image? (b) Where is the final image? (c) If a telescope is to be constructed with a tube 10.0 cm long and a magnification of 3.00, what are the focal lengths of the objective and eyepiece?

54. A certain telescope has an objective mirror with an aperture diameter of 200 mm and a focal length of 2 000 mm. It captures the image of a nebula on photographic film at its prime focus with an exposure time of 1.50 min. To produce the same light energy per unit area on the film, what is the required exposure time to photograph the same nebula with a smaller telescope, which has an objective lens with an aperture diameter of 60.0 mm and a focal length of 900 mm?

 ADDITIONAL PROBLEMS

55. The distance between an object and its upright image is 20.0 cm. If the magnification is 0.500, what is the focal length of the lens that is being used to form the image?

56. The distance between an object and its upright image is $d$. If the magnification is $M$, what is the focal length of the lens that is being used to form the image?

57. The lens and mirror in Figure P36.57 have focal lengths of $+80.0$ cm and $-50.0$ cm, respectively. An object is
58. Your friend needs glasses with diverging lenses of focal length $f = 1100\,\text{cm}$ for both eyes. You tell him he looks good when he does not squint, but he is worried about how thick the lenses will be. If the radius of curvature of the first surface is $R_1 = 50.0\,\text{cm}$ and the high-index plastic has a refractive index of 1.66, (a) find the required radius of curvature of the second surface. (b) Assume that the lens is ground from a disk 4.00 cm in diameter and 0.100 cm thick at the center. Find the thickness of the plastic at the edge of the lens, measured parallel to the axis. *Hint:* Draw a large cross-sectional diagram.

59. The object in Figure P36.59 is midway between the lens and the mirror. The mirror’s radius of curvature is $1125.0\,\text{cm}$, and the lens has a focal length of $-16.7\,\text{cm}$. Considering only the light that leaves the object and travels first toward the mirror, locate the final image formed by this system. Is this image real or virtual? Is it upright or inverted? What is the overall magnification?

60. An object placed 10.0 cm from a concave spherical mirror produces a real image 8.00 cm from the mirror. If the object is moved to a new position 20.0 cm from the mirror, what is the position of the image? Is the latter image real or virtual?

A parallel beam of light enters a glass hemisphere perpendicular to the flat face, as shown in Figure P36.61. The radius is $|R| = 6.00\,\text{cm}$, and the index of refraction is $n = 1.560$. Determine the point at which the beam is focused. *Assume paraxial rays.*

62. **Review Problem.** A spherical lightbulb with a diameter of 3.20 cm radiates light equally in all directions, with a power of 4.50 W. (a) Find the light intensity at the surface of the bulb. (b) Find the light intensity 7.20 m from the center of the bulb. (c) At this distance, a lens is set up with its axis pointing toward the bulb. The lens has a circular face with a diameter of 15.0 cm and a focal length of 35.0 cm. Find the diameter of the image of the bulb. (d) Find the light intensity at the image.

63. An object is placed 12.0 cm to the left of a diverging lens with a focal length of $-6.00\,\text{cm}$. A converging lens with a focal length of 12.0 cm is placed a distance $d$ to the right of the diverging lens. Find the distance $d$ that corresponds to a final image at infinity. Draw a ray diagram for this case.

64. Assume that the intensity of sunlight is 1.00 kW/m² at a particular location. A highly reflecting concave mirror is to be pointed toward the Sun to produce a power of at least 350 W at the image. (a) Find the required radius $R_0$ of the circular face area of the mirror. (b) Now suppose the light intensity is to be at least 120 kW/m² at the image. Find the required relationship between $R_0$ and the radius of curvature $R$ of the mirror. The disk of the Sun subtends an angle of 0.533° at the Earth.

65. The disk of the Sun subtends an angle of 0.533° at the Earth. What are the position and diameter of the solar image formed by a concave spherical mirror with a radius of curvature of 3.00 m?

66. Figure P36.66 shows a thin converging lens for which the radii are $R_1 = 9.00\,\text{cm}$ and $R_2 = -11.0\,\text{cm}$. The lens is in front of a concave spherical mirror of radius $R = 8.00\,\text{cm}$. (a) If its focal points $F_1$ and $F_2$ are 5.00 cm from the vertex of the lens, determine its index of refraction. (b) If the lens and mirror are 20.0 cm apart and an object is placed 8.00 cm to the left of the mirror, what is the position of the image? Is the latter image real or virtual?
Problems

1183

1. In a darkened room, a burning candle is placed 1.50 m from a white wall. A lens is placed between candle and wall at a location that causes a larger, inverted image to form on the wall. When the lens is moved 90.0 cm toward the wall, another image of the candle is formed. Find (a) the two object distances that produce the specified images and (b) the focal length of the lens.

2. A thin lens of focal length \( f \) lies on a horizontal front-surfaced flat mirror. How far above the lens should an object be held if its image is to coincide with the object?

3. A compound microscope has an objective of focal length 0.300 cm and an eyepiece of focal length 2.50 cm. If an object is 3.40 mm from the objective, what is the magnification? (Hint: Use the lens equation for the objective.)

4. Two converging lenses with focal lengths of 10.0 cm and 20.0 cm are positioned 50.0 cm apart, as shown in Figure P36.70. The final image is to be located between the lenses, at the position indicated. (a) How far to the left of the first lens should the object be? (b) What is the overall magnification? (c) Is the final image upright or inverted?

5. A cataract-impaired lens in an eye may be surgically removed and replaced by a manufactured lens. The focal length required for the new lens is determined by the lens-to-retina distance, which is measured by a sonar-like device, and by the requirement that the implant provide for correct distant vision. (a) If the distance from lens to retina is 22.4 mm, calculate the power of the implanted lens in diopters. (b) Since no accommodation occurs and the implant allows for correct distant vision, a corrective lens for close work or reading must be used. Assume a reading distance of 33.0 cm, and calculate the power of the lens in the reading glasses.

6. A floating strawberry illusion consists of two parabolic mirrors, each with a focal length of 7.50 cm, facing each other so that their centers are 7.50 cm apart (Fig. P36.72). If a strawberry is placed on the lower mirror, an image of the strawberry is formed at the small opening at the center of the top mirror. Show that the final image is formed at that location, and describe its characteristics. (Note: A very startling effect is to shine a flashlight beam on these images. Even at a glancing angle, the incoming light beam is seemingly reflected off the images! Do you understand why?)

7. An object 2.00 cm high is placed 40.0 cm to the left of a converging lens with a focal length of 30.0 cm. A diverging lens with a focal length of \(-20.0\) cm is placed 110 cm to the right of the converging lens. (a) Determine the final position and magnification of the final image. (b) Is the image upright or inverted? (c) Repeat parts (a) and (b) for the case in which the second lens is a converging lens with a focal length of \(+20.0\) cm.
ANSWERS TO QUICK QUIZZES

36.1 At C. A ray traced from the stone to the mirror and then to observer 2 looks like this:

Figure QQA36.1

36.2 The focal length is infinite. Because the flat surfaces of the pane have infinite radii of curvature, Equation 36.11 indicates that the focal length is also infinite. Parallel rays striking the pane focus at infinity, which means that they remain parallel after passing through the glass.

36.3 An infinite number. In general, an infinite number of rays leave each point of any object and travel outward in all directions. (The three principal rays that we use to locate an image make up a selected subset of the infinite number of rays.) When an object is taller than a lens, we merely extend the plane containing the lens, as shown in Figure QQA36.2.

36.4 (c) The entire image is visible but has half the intensity. Each point on the object is a source of rays that travel in all directions. Thus, light from all parts of the object goes through all parts of the lens and forms an image. If you block part of the lens, you are blocking some of the rays, but the remaining ones still come from all parts of the object.

36.5 The eyeglasses on the left are diverging lenses, which correct for farsightedness. If you look carefully at the edge of the person’s face through the lens, you will see that everything viewed through these glasses is reduced in size. The eyeglasses on the right are converging lenses, which correct for nearsightedness. These lenses make everything that is viewed through them look larger.

36.6 The lateral magnification of a telescope is not well defined. For viewing with the eye relaxed, the user may slightly adjust the position of the eyepiece to place the final image $I_2$ in Figure 36.42a at infinity. Then, its height and its lateral magnification also are infinite. The angular magnification of a telescope as we define it is the factor by which the telescope increases in the diameter — on the retina of the viewer’s eye — of the real image of an extended object.